

Natural Language Processing and Large Language Models

What is Biomedical & Health Informatics? William Hersh Copyright 2023 Oregon Health & Science University



Natural language processing (NLP) and large language models (LLMs)

- Clinical NLP
- LLMs
- Future directions



Clinical NLP methods and results

- Early approaches and systems
- Applications
- Systematic reviews
- Challenge evaluations



Early approaches and systems

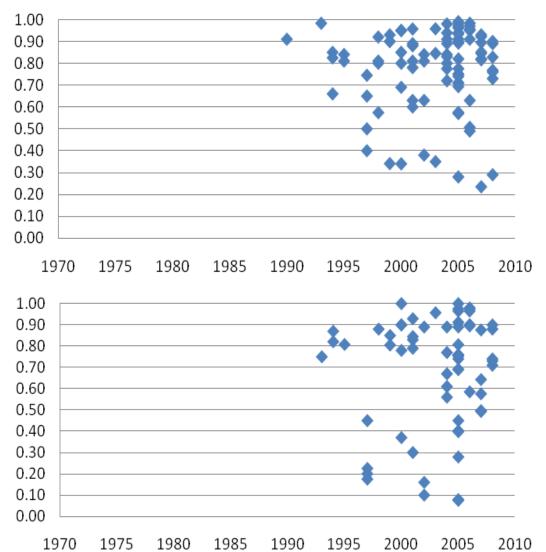
- Linguistic String Project (Sager, 1987)
 - Clinical notes were a "subgrammar" of larger human grammar
 - Most clinical narrative statements could be reduced to small number of information formats, e.g., medication, test and result, etc.
- Medical Language Extraction and Encoding System (MedLEE) (Friedman, 1994)
 - Core approach was "semantic grammar" that recognized terms and attributes but not syntax
 - Initially focused on radiology reports but expanded to other domains
 - Compared with human coders, fell within range of disagreement (Hripcsak, 1995)



Systematic review of early systems (Stanfill, 2010)

 Recall of coding and classification studies over time

 Precision of coding and classification evaluations over time





Application areas of clinical NLP

- Identifying patients and their attributes
 - Postoperative complications (Fitzhenry, 2013; Tien, 2015)
 - High-risk heart failure patients (Evans, 2016)
 - ICU risk of death and length of stay (Weissman, 2018)
 - Alcohol misuse (Afshar, 2019)
 - Geriatric syndromes (Chen, 2019)
 - Progression and mortality in cancer (Kehl, 2020)
 - Risk of nosocomial infection (Goodwin, 2020)
 - Social determinants of health (Feller, 2020)
 - COVID-19 patient advising and testing (Meystre, 2021)



Applications of clinical NLP (cont.)

- Improve processing or radiology images and reports
 - Terms from radiology reports generalized across institutions (Sugimoto, 2021)
 - Improving processing using standard ontologies (Filice, 2021)
 - Improved identification of findings in CXRs by processing text of reports (Zhou, 2022)
- Measuring healthcare quality
 - Determination of healthcare quality measures (Hazlehurst, 2005; Yetisgen, 2014; Kim, 2017, Meystre, 2017)
 - Implementation in practice settings (Garvin, 2018)
- Assisting patients
 - Linking EHR language to lay definitions (Chen, 2018)
- Conversational agents
 - Assist physicians with prescribing by linking to knowledge-based information (Preininger, 2020)



Applications of clinical NLP (cont.)

- Augmenting clinical research
 - Finding patients with congestive heart failure (Pakhomov, 2007)
 - Electronic Medical Records and Genomics (eMERGE) Network
 - <u>https://emerge-network.org/</u>
 - Aims to link phenotype (patient data) with genotype (genetic sequencing) (McCarty, 2011; eMERGE Consortium, 2021)
 - Early work replicating genome-wide association studies (Ritchie, 2010; Denny, 2013)
 - Recent focus on polygenic risk scores (Xu, 2021)
 - Case detection of diabetes (Zheng, 2016)
 - Association between androgen deprivation therapy and risk of dementia (Nead, 2017)
 - Extraction outcomes in cancer patients from radiology reports (Kehl, 2019) and pathology reports (Alawad, 2020)
 - Cohort selection for clinical studies (Wang, 2019; Chamberlin, 2020)
 - Classifying patients into phenotypes using deep learning (Si, 2021)



More recent applications

- Zero-shot prompting for patient from EHR text (Sivarajkumar, 2022)
- BERT model of de-identified clinical notes for diagnostic code assignment and therapeutic drug class inference (Sushil, 2022)
- Reading comprehension tasks from clinical practice guidelines (Mahbub, 2023)
- Combining human and machine intelligence for clinical trial eligibility querying (Fang, 2022)



Recent systematic reviews

- Measure and improve quality of diabetes care (Turchin, 2021)
- Use of SNOMED CT to represent processed text (Gaudet-Blavignac, 2021)
- A scoping review of publicly available language tasks in clinical NLP (Gao, 2022)
- Use of unstructured text in prognostic clinical prediction models (Seinen, 2022)
- Automatic documentation of professional health interactions (Falcetta, 2023)
- Using text mining in clinical decision support only 20% of systems tested in actual clinical use (van de Burgt, 2023)



I2b2/n2c2 challenge evaluations

- Annual challenges with overview and system papers
 - <u>https://www.i2b2.org/NLP/DataSets/Main.php</u>
 - Automated de-identification of records (Uzuner, 2007)
 - Identification of smoking status (Uzuner, 2008)
 - Identification of obesity and its co-morbidities (Uzuner, 2009)
 - Extracting medication information (Uzuner, 2010)
 - Relationships between concepts in clinical text (Uzuner, 2011)
 - Coreference resolution and sentiment classification (Uzuner, 2012)
 - Temporal relations (Sun, 2013)
 - De-identification and cardiovascular risk factor detection (Stubbs, 2015)

- Recast as National NLP Clinical Challenges (n2c2)
 - https://n2c2.dbmi.hms.harvard.edu/
 - Cohort selection for clinical trials (Stubbs, 2019)
 - Adverse drug events and medication extraction in EHRs (Henry, 2020)
 - Concept normalization in clinical records (Henry, 2020)
 - Clinical semantic textual similarity (Wang, 2020)
 - Family history extraction (Shen, 2021)
 - Contextualized medication event extraction
 - Extracting social determinants of health (SDOH) (Lybarger, 2023)
 - Progress note understanding: assessment and plan reasoning

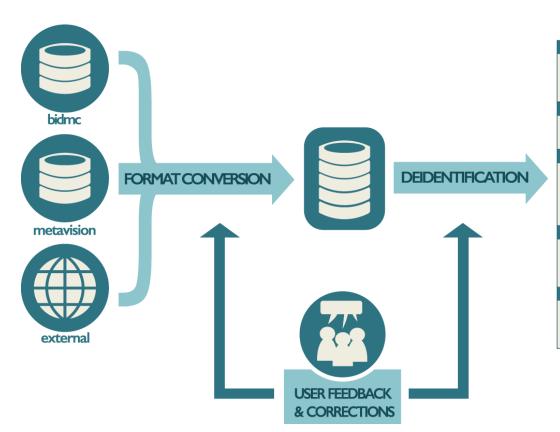


Additional tasks and data sets

- Question-answering from document; MultiMedQA includes data sets emrQA (Pampari, 2018), MedQA (Jin, 2021), MedMCQA (Pal, 2022), PubMedQA (Jin, 2019), MMLU clinical topics (Hendrycks, 2020)
- Natural language inference (MedNLI) conclusion inferred from sentence, EHR records annotated by clinicians (Romanov, 2018; Shivade, 2019)
- CLIP dataset for extracting action items for physicians from hospital discharge notes (Mullenbach, 2021)
- Discharge Summary Clinical Questions (DiSCQ) 2,000+ questions paired with snippets of text (triggers) that prompt each question (Lehman, 2022)
- cpgQA question-answering dataset for clinical practice guidelines (Mahbub, 2023)



Another challenge – reliance on almost a single source of data



MIMIC-IV

HOSPITAL

PATIENT TRACKING
patients
admissions
transfers
ADMINISTRATION
services
poe, poe_detail
BILLING
diagnoses_icd, d_icd_diagnoses
procedures_icd, d_icd_procedures
drgcodes
hcpcsevents, d_hcpcs
MEASUREMENT
microbiologyevents
labevents, d_labitems
omr
MEDICATION
emar, emar_detail
pharmacy
prescriptions

PATIENT TRACKING
icustays
MEASUREMENT
d_items
chartevents
datetimeevents
ingredientevents
inputevents
outputevents
procedureevents

NOTE

DEDENTIFIED FREE-TEXT discharge, discharge_detail radiology, radiology_detail

- Medical Information Mart for Intensive Care (MIMIC)
- (Johnson, 2016; Johnson, 2023)
- <u>https://physionet.org/about/database/</u>



LLMs

- Generate code (Li, 2022), solve college-level math (Drori, 2022), generate images (Ramesh, 2021) and video from text (Edwards, 2022)
- Answering clinical questions
 - PaLM (Singhal, 2022; Chowdhery, 2022) basis of Google healthcare chatbot (Saha, 2022)
 - Smaller clinical models outperform larger general models (Lehman, 2023)
 - PubMedGPT performed well on clinical questions (Bolton, 2022)
- GatorTron large model with clinical tuning showed state-of-the-art results for concept and relationship extraction, textual similarity detection, natural language inference, and question-answering (Yang, 2022)
- Extracting breast cancer phenotypes from electronic health records (Zhou, 2022)
- Publicly available BERT embeddings better for extraction than de-identification tasks (Alsentzer, 2019)
- Longer-sequence models perform better with longer clinical texts (Li, 2023)



ChatGPT – <u>https://chat.openai.com/</u>

- Diagnostic and triage accuracy for 45 vignettes comparable to physicians (Levine, 2023)
- Answers to 21 of 25 questions about cardiovascular disease prevention deemed acceptable by cardiology clinicians for patient-facing information platform and as AI-generated draft responses to questions sent by patients for clinician review (Sarraju, 2023)
- Performed at or near passing for three levels of USMLE (Kung, 2023)
- Scientific abstracts undetectable by plagiarism checkers (Gao, 2022)
- Can create templates for discharge summaries (Patel, 2023)
- Need policies for "non-human" authors of scientific papers (Liebrenz, 2023; Flanagin, 2023; Nature, 2023)



Challenges for clinical NLP

- "Note bloat" and redundancy in clinical notes reduce NLP model performance (Liu, 2022)
 - Growing note length and redundancy over years (Rule, 2021)
 - Half of discharge summary content emanates from outside records of hospital stay – 39% from other records and 11% from no records at all (Ando, 2022)
- Benchmark data sets tend to focus on information task and not clinical need (Blagec, 2023)
- LLMs expensive to build and maintain can only be done by large companies (Dickson, 2022)
- In voice recognition, non-lexical conversational sounds (*mm-hm*, *uh-huh*, etc.) degrade performance (Tran, 2023)



Ethical issues in NLP

- Language bias training on large amounts of language "learns" biases inherent in text
 - Google searches for "professional" vs. "unprofessional" hair styles reveal racial differences (Alexander, 2016)
 - Occupations by gender and race (Sheng, 2019)
 - Treatment of pain by race; can be corrected for (Logé, 2021)
 - ChatGPT a blurry JPEG of Web? (Chiang, 2023)
- Privacy
 - Google, Apple, and others show large language models trained on public data expose personal information (Carlini, 2020; Wiggers, 2020)
 - May be compromised by need for data to be used to improve tools, e.g., Dragon voice recognition (Ross, 2022)
- GPT-3
 - Medical advice to agree with human decision to carry out suicide (Rousseau, 2020)
 - Use of racist language (Heaven, 2020)



Ethical issues (cont.)

- Galactica from Meta (Taylor, 2022) pulled when gave wrong answer for treating seizures due to failure to recognize negation (Birhane, 2022)
- At-home consumer devices used for medical purposes, e.g., should Alexa diagnose Alzheimer's? (Simon, 2022)
- Ethical considerations about potential to address and/or perpetuate bias (Fu, 2022; Bear Don't Walk, 2022)
 - Selecting metrics that interrogate bias in models
 - Opportunities and risks of identifying sensitive patient attributes
 - Best practices in reconciling individual autonomy, leveraging patient data, and inferring and manipulating sensitive information of subgroups



Academic and commercial NLP systems

- Academic
 - MetaMap from NLM, maps to concepts of UMLS Metathesaurus (Aronson, 2010)
 - <u>https://lhncbc.nlm.nih.gov/ii/tools/MetaMap.ht</u> <u>ml</u>
 - MetaMap Lite provides simpler and faster version (Demner-Fushman, 2017)
 - <u>https://lhncbc.nlm.nih.gov/ii/tools/MetaMap/r</u> <u>un-locally/MetaMapLite.html</u>
 - EMERSE from University of Michigan (Hanauer, 2006; Hanauer, 2015)
 - <u>https://project-emerse.org/</u>
 - cTAKES from Mayo Clinic (Savova, 2010)
 - <u>https://ctakes.apache.org</u>
 - Canary from Brigham & Women's Hospital (Malmasi, 2017)
 - <u>http://canary.bwh.harvard.edu/</u>
 - CLAMP from UT Houston (Soysal, 2018)
 - <u>https://clamp.uth.edu/</u>

- Commercial
 - Nuance acquired by Microsoft
 - <u>https://www.nuance.com/omni-channel-</u> <u>customer-engagement/technologies/natural-</u> <u>language-understanding.html</u>
 - Lingumatics
 - <u>https://www.linguamatics.com/</u>
 - M*Modal acquired by 3M
 - Discern nCode acquired by Cerner
 - Health Fidelity commercial version of MedLEE
 - <u>https://healthfidelity.com/</u>



Future directions for clinical NLP

- NLP must move focus from "tasks as decisions" to "tasks as needs" for clinical use (Lederman, 2022)
- For population health management and measurement need (Tamang, 2023)
 - Readiness of data and compute resources
 - Organizational incentives to use and maintain systems
 - Feasibility of implementation and continued monitoring
- Priorities for ChatGPT research human expertise, accountability, open systems, embrace benefits, widen debate (van Dis, 2023)

